

NATIONAL ADVISORY COMMITTEE
PANTAWID PAMILYANG PILIPINO PROGRAM

NAC Resolution No. 13
Series of 2013

**Handling of Compliance Verification in cases of
State of Calamity, Disaster, Complexity and other Exceptional Cases**

Whereas, the Pantawid Pamilyang Pilipino Program's National Advisory Committee (NAC) is the highest policy making inter-agency body that provides policy directions and guidance on matters pertinent to Pantawid program implementation;

Whereas, the Compliance Verification is one of the core systems of the Pantawid Pamilyang Pilipino Program designed to provide regular feedback on the beneficiaries' status relative to compliance with the conditionalities prescribed in the program which serves as a basis for periodic payment of grants to household beneficiaries;

Whereas, the state of calamity, disaster, or complex emergencies herein referred to are harmonized with Republic Act No. 10121 entitled "Philippine Disaster Risk Reduction and Management Act of 2010, strengthening The Philippine Disaster Risk Reduction And Management System, Providing For The National Disaster Risk Reduction And Management Framework And Institutionalizing The National Disaster Risk Reduction And Management Plan;

Whereas, in the occurrence of these events which are beyond the control of the program, the beneficiaries are unable to comply, against their will, with the conditions of the program, the beneficiaries are treated as deemed compliant with the program conditions.

Now therefore, be it **RESOLVED** as it is hereby resolved by the members of the NAC in a meeting assembled on 12 July 2013, to approve the following policies:

I. Circumstances Considered

- a. **"State of Calamity"** - a condition involving mass casualty and/or major damages to property, disruption of means of livelihoods, roads and normal way of life of people in the affected areas as a result of the occurrence of natural or human-induced hazard. (RA 10121)
- b. **"Complex Emergency"** - a form of human-induced emergency in which the cause of the emergency as well as the assistance to the afflicted IS complicated by intense level of political considerations. (RA 10121)
- c. **"Disaster"** - a serious disruption of the functioning of a community or a society involving widespread human, material, economic or environmental losses and impacts, which exceeds the ability of the affected community or society to cope using its own resources. Disasters are often described as a result of the combination of: the exposure to a hazard; the conditions of vulnerability that are present; and insufficient capacity or measures to reduce or cope with the potential negative consequences, Disaster impacts may include loss of life, injury, disease and other negative effects on human, physical, mental and social well-being, together with damage to property, destruction of assets, loss of services, social and economic disruption and environmental degradation. (RA 10121)

- d. **“Exceptional cases”** are those that are NOT declared by National Disaster Risk Reduction Management Council as State of Calamity/ Complex Emergency or Disaster cases but have equivalent adverse effect on the implementation of the program. The exceptional cases prevent the program implementers or beneficiaries to comply beyond their will with the requirements of the program, such as:
1. Regular and continuous heavy rains but are not declared as state of calamity or disaster areas which render the facility inaccessible;
 2. Facilities used as evacuation centers by the adjacent areas declared as state of calamity, disaster, or complex emergencies;
 3. The only way going to and from that unaffected area is through a state of calamity, disaster, or complex emergency – declared area;
 4. Shortage of manpower when program implementers of unaffected areas are summoned to prioritize helping areas declared as state of calamity, disaster, or complex emergencies;
 5. Administrative reasons that prevent the school, health or DSWD facility or personnel from accomplishing their duties;
 6. Renovation of facilities or transitory fixes;
 7. Resignation, illness, death, leave of absence, and similar cases that will trigger non-performance of duties of the lone educational or health facility worker in a remote education facility where no immediate replacement was provided that caused stoppage of service; and
 8. Other justifiable causes that prevent the implementers and beneficiaries to comply with the requirements of the program
- e. State of calamity, disaster, complex emergency, or exceptional cases affect the implementation of the program by:
1. Preventing the compliance of the beneficiaries to the conditions; and
 2. Hampering the verification of compliance data (from the distribution and collection of physical CV forms down to the electronic conversion and approval of CV data);
- f. State of calamity, disaster, complex emergency, or exceptional cases shall apply to facilities or areas upon identification and assessment on the severity of the situation, the number of people affected, and impact on the supply side condition of the program including the appropriate intervention that should be undertaken to facilitate recovery.

II. Procedures

- a. Handling of compliance verification in state of calamity, disaster, or complex emergency shall be identified and declared as follows:
1. The DSWD Regional Director of the affected area must submit a formal request to invoke the *NAC Resolution 13 S-2013 (this resolution)* to the National Project Management Office (NPMO), specifying the areas affected and program conditions requested to treat the beneficiaries as deemed compliant with. The supporting documents for the declaration of such is attached.
 2. The supporting documents are the certifications attesting the occurrence of an event falling under *Section I. Circumstances Considered, NAC Resolution 13 S-2013*. The Regional Project Management Office (RPMO), Provincial Operations Office, or the City/Municipal Links of the affected area will facilitate the acquisition of these certifications from the head of the school, head of health facility, or the Regional,

Provincial, City, Municipal or Barangay Disaster Risk Reduction and Management Councils.

Table below recommends the lists of certifications that may be availed of, according to the program condition affected by state of calamity, disaster and complex emergency. At least one of the certifications below is sufficient to support the request to invoke *NAC Resolution 13 S-2013*:

Cases	Certification Issued By	Remarks
Whole Province or more than 1 municipality is affected or Adjacent Cities/Municipalities belonging to different Provinces	Head of RDRRMC or their assigns	For all cases of State of calamity, disaster, or complex emergency
	Governor(s) or their assigns	
Whole City or Municipality or more than 1 barangay is affected or Adjacent Barangays belonging to different Cities or Municipalities	Governor, Mayor(s), or their assigns	For all cases of State of calamity, disaster, or complex emergency
	Highest ranking officer(s) for that area (AFP, PNP or BFP)	Certification can stand alone in cases of armed conflict or fire
Whole or part of Barangay is affected	Mayor, Barangay Captain(s) or their assigns	For all cases of State of calamity, disaster, or complex emergency
	Highest ranking officer for that area (AFP, PNP or BFP)	Certification can stand alone in cases of armed conflict or fire
Selected Education Facilities are affected	Head of the education facility or designated Officer In-Charge,	For all cases of State of calamity, disaster, or complex emergency
	Division or District Superintendent or Deped Regional Director	Primary alternate of the Head Can recommend when 2 or more facilities are affected
	Mayor, Barangay Captain or their assigns	Secondary alternate of Head Can recommend when 2 or more facilities are affected

Cases	Certification Issued By	Remarks
Selected Health Facilities are affected	Heads of the Health facilities or designated Officer In-Charge	For all cases of State of calamity, disaster, or complex emergency
	City/ Municipal or Division Health Officer or DoH Regional Director	Primary alternate of the Head Can recommend when 2 or more facilities are affected
	Mayor, Barangay Captain or their assigns	Secondary alternate of Head Can recommend when 2 or more facilities are affected

3. The National Project Management Office (NPMO) will assess and validate the recommendation. The National Project Manager will recommend the approval of the request to invoke *NAC Resolution 13 S-2013* to the National Project Director (the Secretary of DSWD) upon assessment and validation of the request.
4. The Secretary will approve the recommendation based on the merits of the recommendation.
5. Once the Requests for Declaration for state of calamity/ disaster/ or complex emergency is approved, FOs shall capture the data in the state of calamity, disaster and complex emergency systems-interface (Force Majeure interface) during the encoding process and the NPMO will approve the recommendations in the system based on the approved physical document of the recommendation for declaration of state of calamity, disaster and complex emergency. The beneficiaries are treated as deemed compliant and corresponding payment of grants to the household beneficiaries of the program shall be effected.
6. The Regional Director may request for each succeeding Compliance Verification System period extending the declaration of state of calamity, disaster and complex emergency after the approval of the original (1st) request, depending on the availability of the supply side in the area and the ability of the beneficiaries to comply again with the conditions of the program. All succeeding requests for a declaration of state of calamity, disaster and complex emergency shall comply with the same procedures as stated in this section.

7. A maximum of three (3) consecutive CVS Periods may be allowed for the same state of calamity, disaster and complex emergency event affecting the same area.


b. Handling of compliance verification for exceptional cases:

1. Exceptional cases shall also be identified, recommended and approved in the same manner as that of state of calamity, disaster and complex emergency.
2. The NAC Technical Working Group is hereby given the task of identifying other events not stipulated above but should be classified under exceptional cases and revise the provisions of the Operations Manual.


Adopted this 10th day of July, Two Thousand and Thirteen, in Dumaguete City.


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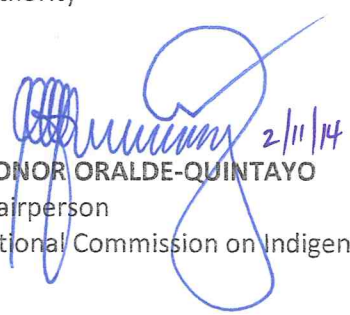


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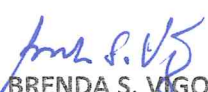
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